



11-25-05

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PATENT  
Customer No. 22,852  
Attorney Docket No. 7451.0005-04  
Intertrust Ref. No.: IT-7.2.1 (US)

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Signed:

Mary A. McCauley

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Karl L. GINTER et al.

Application No.: 10/727,324

Filed: December 2, 2003

For: TRUSTED INFRASTRUCTURE  
SUPPORT SYSTEMS, METHODS  
AND TECHNIQUES FOR SECURE  
ELECTRONIC COMMERCE  
TRANSACTION AND RIGHTS  
MANAGEMENT

Group Art Unit: 3624

Examiner: Not Yet Assigned

Confirmation No. 6224

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicants bring to the attention of the Examiner the documents on the attached listing. To the best of our knowledge, this Information Disclosure Statement (IDS) is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Copies of the listed foreign and non-patent literature documents are stored on the three CD-ROMs accompanying this IDS. Copies of the U.S. patent publications are not enclosed.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicants determine that the cited documents do not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

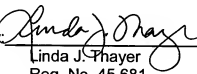
Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: November 23, 2005

By:   
Linda J. Phayer  
Reg. No. 45,681



OS Form PTO/SB/08: Substitute for form 1449A/PTO

# **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 59

## **Complete if Known**

Application Number	10/727,324
Filing Date	December 2, 2003
First Named Inventor	Karl L. Ginter, et al.
Art Unit	3624
Examiner Name	Not Yet Assigned
Attorney Docket Number	07451.0005-04000

## **U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS**

Examiner Initials	Cite No.	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code (if known)			
/AS/		3,573,747	4/6/1971	Adams et al.	
		3,609,697	9/28/1971	Blevins	
		3,790,700	2/5/1974	Callais, et al.	
		3,796,830	3/12/1974	Smith	
		3,798,359	3/19/1974	Feistel	
		3,798,360	3/19/1974	Feistel	
		3,798,605	3/19/1974	Feistel	
		3,806,874	4/21/1974	Ehrt	
		3,806,882	4/23/1974	Clarke	
		3,829,833	8/13/1974	Freeny Jr.	
		3,845,391	10/29/1974	Crosby	
		3,906,448	9/16/1975	Henriques	
		3,911,397	10/7/1975	Freeny Jr.	
		3,924,065	12/2/1975	Freeny Jr.	
		3,931,504	1/6/1976	Jacoby	
/AS/		3,946,200	3/23/1976	Juodikis	
/AS/		3,946,220	3/23/1976	Brobeck et al.	
		3,956,615	5/11/1976	Anderson et al.	
		3,958,081	5/18/1976	Ehrt et al.	
		3,970,992	7/20/1976	Boothroyd et al.	
		3,996,449	12/7/1976	Attanasio et al.	
		4,020,326	4/26/1977	Coulthurst	
		4,048,619	9/13/1977	Forman, Jr. et al.	
		4,071,911	1/31/1978	Mazur	
		4,104,721	8/1/1978	Markstein et al.	
		4,112,421	9/5/1978	Freeny, Jr.	
		4,120,030	10/10/1978	Johnstone	
		4,141,005	2/20/1979	Ronner et al.	
		4,162,483	7/24/1979	Entenman	

Examiner Signature	/April Ying Shan/	Date Considered	01/24/2008
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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/AS/		4,163,280	7/31/1979	Mori et al.	
		4,168,396	9/1/1979	Best	
		4,183,085	1/8/1980	Roberts et al.	
		4,196,310	4/1/1980	Forman et al.	
		4,200,913	4/29/1980	Kuhar et al.	
		4,209,787	6/24/1980	Freney Jr.	
		4,217,588	8/12/1980	Freney Jr.	
		4,220,991	9/2/1980	Hamano et al.	
		4,232,193	11/4/1980	Gerard	
		4,232,317	11/4/1980	Freney Jr.	
		4,236,217	11/25/1980	Kennedy	
		4,246,638	1/20/1981	Thomas	
		4,253,157	2/24/1981	Kirschner et al.	
		4,259,720	3/31/1981	Campbell	
		4,262,329	4/14/1981	Bright et al.	
		4,265,371	5/5/1981	Desai et al.	
		4,270,182	5/26/1981	Asija	
		4,278,837	7/1/1981	Best	
		4,305,131	12/8/1981	Best	
		4,306,289	12/15/1981	Lumley	
		4,309,569	1/5/1982	Merkle	
		4,319,079	3/9/1982	Best	
		4,321,672	3/23/1982	Braun et al.	
		4,323,921	4/6/1982	Guillou	
		4,328,544	5/4/1982	Baldwin et al.	
		4,337,483	6/29/1982	Guillou	
		4,361,877	11/30/1982	Dyer et al.	
		4,375,579	3/1/1983	Davida et al.	
V		4,405,829	9/20/1983	Rivest et al.	

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IAS/		4,433,207	2/21/1984	Best	
		4,434,464	2/28/1984	Suzuki et al.	
		4,442,484	4/10/1984	Childs, Jr et al.	
		4,442,486	4/10/1984	Mayer	
		4,446,519	5/1/1984	Thomas	
		4,454,594	6/12/1984	Heffron et al.	
		4,458,315	7/3/1984	Uchenick	
		4,462,076	7/24/1984	Smith, III	
		4,462,078	7/24/1984	Ross	
		4,465,901	8/14/1984	Best	
		4,471,163	9/11/1984	Donald et al.	
		4,471,216	9/11/1984	Herve	
		4,484,217	11/20/1984	Block et al.	
		4,494,156	1/15/1985	Kadison et al.	
		4,513,174	4/23/1985	Herman	
		4,523,271	6/11/1985	Levien	
		4,525,599	6/25/1985	Curran et al.	
		4,528,588	7/9/1985	Lofberg	
		4,528,643	7/9/1985	Freeny Jr.	
		4,529,870	7/16/1985	Chaum	
		4,553,252	11/12/1985	Egendorf	
		4,558,176	12/10/1985	Arnold et al.	
		4,558,413	12/10/1985	Schmidt et al.	
		4,562,305	12/31/1985	Gaffney, Jr.	
		4,562,306	12/31/1985	Chou et al.	
		4,562,495	12/31/1985	Bond et al.	
		4,573,119	2/25/1986	Westheimer et al.	
		4,577,289	3/18/1986	Comerford et al.	
		4,578,530	3/25/1986	Zeidler	

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/AS/		4,584,639	4/22/1986	Hardy	
		4,584,641	4/22/1986	Guglielmino	
		4,588,991	5/13/1986	Atalla	
		4,589,064	5/13/1986	Chiba et al.	
		4,590,552	5/20/1986	Gutttag et al.	
		4,593,183	6/3/1986	Fukatsu	
		4,593,353	6/3/1986	Pickholtz	
		4,593,376	6/3/1986	Volk	
		4,595,950	6/17/1986	Lofberg	
		4,597,058	6/24/1986	Izumi et al.	
		4,598,288	7/1/1986	Yarbrough et al.	
		4,599,489	7/8/1986	Cargile	
		4,609,777	9/2/1986	Cargile	
		4,609,985	9/2/1986	Dozier	
		4,621,321	11/4/1986	Boebert et al.	
		4,621,334	11/4/1986	Garcia	
		4,622,222	11/11/1986	Horváth et al.	
		4,634,807	1/6/1987	Chorley et al.	
		4,644,493	2/17/1987	Chandra et al.	
		4,646,234	2/24/1987	Tolman et al.	
		4,649,515	3/10/1987	Thompson et al.	
		4,652,990	3/24/1987	Pailen et al.	
		4,658,093	4/14/1987	Hellman	
		4,670,857	6/2/1987	Rackman	
		4,672,572	6/9/1987	Alsberg	
		4,672,605	6/9/1987	Hustig et al.	
		4,677,434	6/30/1987	Fascenda	
		4,677,552	6/30/1987	Sibley, Jr.	
		4,680,731	7/14/1987	Izumi et al.	

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		Number-Kind Code (if known)			
/AS/		4,683,553	7/28/1987	Mollier	
		4,683,968	8/4/1987	Appelbaum et al.	
		4,685,056	8/4/1987	Barnsdale, Jr. et al.	
		4,688,169	8/18/1987	Joshi	
		4,691,350	9/1/1987	Kleijne et al.	
		4,696,034	9/22/1987	Wiedemer	
		4,700,296	10/13/1987	Palmer, Jr. et al.	
		4,701,846	10/20/1987	Ikeda et al.	
		4,712,238	12/8/1987	Gilhausen et al.	
		4,713,753	12/15/1987	Boebert et al.	
/AS/		4,727,550	2/23/1988	Chang, et al.	
/AS/		4,740,890	4/26/1988	William	
		4,747,139	5/24/1988	Taaffe	
		4,748,561	5/31/1988	Brown	
		4,757,533	7/12/1988	Allen et al.	
		4,757,534	7/12/1988	Matyas et al.	
		4,757,914	7/19/1988	Roth et al.	
		4,768,087	8/30/1988	Taub et al.	
		4,780,821	10/25/1988	Crossley	
		4,791,565	12/13/1988	Dunham et al.	
		4,796,181	1/3/1989	Wiedemer	
		4,796,220	1/3/1989	Wolfe	
		4,798,209	1/27/1989	Klingenbeck, et al.	
		4,799,156	1/17/1989	Shavit et al.	
		4,807,288	2/21/1989	Ugon et al.	
		4,816,655	3/28/1989	Musyck et al.	
		4,817,140	3/1/1989	Chandra et al.	
		4,823,264	4/18/1989	Deming	
		4,827,508	5/2/1989	Shear	

Examiner Signature	/April Ying Shan/	Date Considered	01/24/2008
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		Number-Kind Code (if known)			
/AS/		4,858,121	8/15/1989	Barber et al.	
		4,864,494	9/5/1989	Kobus, Jr.	
		4,864,616	9/5/1989	Pond et al.	
		4,866,769	9/12/1989	Karp	
		4,868,736	9/19/1989	Walker	
		4,868,877	9/19/1989	Fischer	
		4,881,197	11/14/1989	Fischer	
		4,888,798	12/19/1989	Earnest	
		4,893,248	1/9/1990	Pitts et al.	
		4,893,332	1/9/1990	Brown	
		4,903,296	2/20/1990	Chandra et al.	
		4,907,269	3/6/1990	Guillon et al.	
		4,919,545	4/24/1990	Yu	
		4,919,545	4/24/1990	Yu	
		4,924,378	5/8/1990	Hershey et al.	
		4,926,480	5/15/1990	Chaum	
		4,930,073	5/29/1990	Cina Jr.	
		4,937,863	6/26/1990	Robert et al.	
		4,941,175	7/10/1990	Enescu et al.	
		4,949,187	8/14/1990	Cohen	
		4,953,209	8/28/1990	Ryder, Sr. et al.	
		4,962,533	10/9/1990	Krueger et al.	
		4,967,403	10/30/1990	Ogawa	
		4,975,647	12/4/1990	Downer et al.	
		4,975,647	12/4/1990	Downer et al.	
		4,975,878	12/4/1990	Boddu et al.	
		4,977,594	12/11/1990	Shear	
		4,995,082	2/19/1991	Schnorr	
V		4,999,806	3/12/1991	Chernow et al.	

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/AS/		5,001,752	3/19/1991	Fischer	
		5,005,122	4/2/1991	Griffin et al.	
		5,005,200	4/2/1991	Fischer	
		5,010,571	4/23/1991	Katznelson	
		5,014,234	5/7/1991	Edwards, Jr.	
		5,022,080	6/4/1991	Durst et al.	
		5,023,907	6/11/1991	Johnson et al.	
		5,027,397	6/25/1991	Double et al.	
		5,032,979	7/16/1991	Hecht et al.	
		5,047,928	9/10/1991	Wiedemer	
		5,048,085	9/10/1991	Abraham et al.	
		5,050,212	9/17/1991	Dyson	
		5,050,213	9/17/1991	Shear	
		5,051,932	9/24/1991	Inoue et al.	
		5,058,162	10/15/1991	Santon et al.	
		5,065,429	11/12/1991	Lang	
		5,079,648	1/7/1992	Maufe	
		5,091,966	2/25/1992	Bloomberg et al.	
		5,103,392	4/7/1992	Mori	
		5,103,459	4/7/1992	Gilhausen et al.	
		5,103,476	4/7/1992	Waite et al.	
		5,109,413	4/28/1992	Comerford et al.	
		5,111,390	5/5/1992	Ketcham	
		5,113,518	5/12/1992	Durst, Jr. et al.	
		5,119,493	6/2/1992	Janis et al.	
		5,126,936	6/30/1992	Champion, et al.	
		5,128,525	7/7/1992	Stearns et al.	
		5,129,084	7/7/1992	Kelly, Jr. et al.	
✓		5,136,643	8/4/1992	Fischer	

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/AS/		5,136,646	8/4/1992	Haber et al.	
		5,136,647	8/4/1992	Haber et al.	
		5,136,716	8/4/1992	Harvey et al.	
		5,138,712	8/11/1992	Corbin	
		5,146,575	9/8/1992	Nolan, Jr.	
		5,148,481	9/15/1992	Abraham et al.	
		5,150,407	9/22/1992	Chan	
		5,155,680	10/13/1992	Wiedemer	
		5,163,091	11/10/1992	Graziano et al.	
		5,164,988	11/17/1992	Matyas et al.	
		5,168,147	12/1/1992	Bloomberg	
		5,185,717	2/9/1993	Mori	
		5,187,787	2/16/1993	Skeen et al.	
		5,191,573	3/2/1993	Hair	
		5,199,066	3/30/1993	Logan	
		5,199,074	3/30/1993	Thor	
		5,201,046	4/6/1993	Goldberg et al.	
		5,201,047	4/6/1993	Maki et al.	
		5,204,897	4/20/1993	Wyman	
		5,206,951	4/27/1993	Khoyi et al.	
		5,208,748	5/4/1993	Flores et al.	
		5,214,700	5/25/1993	Pinkas et al.	
		5,214,702	5/25/1993	Fischer.	
		5,216,603	6/1/1993	Flores et al.	
		5,218,605	6/8/1993	Low et al.	
		5,221,833	6/22/1993	Hecht	
		5,222,134	6/22/1993	Waite et al.	
		5,224,160	6/29/1993	Paulini et al.	
V		5,224,163	6/29/1993	Gasser et al.	

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## Complete if Known

Application Number	10/727,324
Filing Date	December 2, 2003
First Named Inventor	Karl L. Ginter, et al.
Art Unit	3624
Examiner Name	Not Yet Assigned
Attorney Docket Number	07451.0005-04000

## U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

Examiner Initials	Cite No.	Document Number	Issue or Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code (if known)			
/AS/		5,227,797	7/13/1993	Murphy	
/AS/		5,235,642	8/10/1993	Wobber et al.	
		5,237,614	8/17/1993	Weiss	
		5,241,671	8/31/1993	Reed, et al.	
		5,245,165	9/14/1993	Zhang	
		5,247,575	9/21/1993	Sprague et al.	
		5,251,294	10/5/1993	Abelow	
		5,257,369	10/26/1993	Skeen et al.	
		5,260,999	11/9/1993	Wyman	
		5,263,157	11/16/1993	Janis	
		5,263,158	11/16/1993	Janis	
		5,263,165	11/16/1993	Janis	
		5,265,164	11/23/1993	Matyas et al.	
		5,276,735	1/4/1994	Boebert et al.	
		5,276,901	1/4/1994	Howell et al.	
		5,280,479	1/18/1994	Mary	
		5,283,830	2/1/1994	Hinsley et al.	
		5,285,494	2/8/1994	Sprecher et al.	
		5,287,407	2/15/1994	Holmes	
		5,291,598	3/1/1994	Grundy	
		5,301,231	4/5/1994	Abraham et al.	
		5,301,326	4/5/1994	Linnett et al.	
		5,311,591	5/10/1994	Fischer	
		5,315,448	5/24/1994	Ryan	
		5,319,705	6/7/1994	Halter et al.	
		5,319,735	6/7/1994	Preuss et al.	
		5,319,785	6/7/1994	Thaller	
		5,325,524	6/28/1994	Black et al.	
V		5,335,169	8/2/1994	Chong	

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Application Number	10/727,324
Filing Date	December 2, 2003
First Named Inventor	Karl L. Gintler, et al.
Art Unit	3624
Examiner Name	Not Yet Assigned
Attorney Docket Number	07451.0005-04000

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		Number-Kind Code (if known)			
/AS/		5,335,346	8/2/1994	Fabbio	
		5,337,357	8/9/1994	Chou et al.	
		5,337,360	8/9/1994	Fischer	
		5,341,429	8/23/1994	Stringer et al.	
		5,343,526	8/30/1994	Lassers	
		5,343,527	8/30/1994	Moore	
		5,347,579	9/13/1994	Blandford	
		5,349,642	9/20/1994	Kingdon	
		5,351,293	9/27/1994	Michener et al.	
		5,354,097	10/11/1994	Tel	
		5,355,474	10/11/1994	Thuraisingham et al.	
		5,359,721	10/25/1994	Kempf et al.	
		5,361,359	11/1/1994	Tajalli et al.	
		5,365,587	11/15/1994	Campbell, et al.	
		5,367,621	11/22/1994	Cohen et al.	
		5,369,702	11/29/1994	Shanton	
		5,369,707	11/29/1994	Follendore, III	
		5,371,792	12/6/1994	Asai et al.	
		5,373,440	12/13/1994	Cohen, et al.	
		5,373,561	12/13/1994	Haber et al.	
		5,375,240	12/20/1994	Grundy	
		5,383,113	1/17/1995	Kight et al.	
		5,388,211	2/7/1995	Hornbuckle	
		5,390,247	2/14/1995	Fischer	
		5,390,297	2/14/1995	Barber et al.	
		5,390,330	2/14/1995	Talati	
		5,392,220	2/21/1995	Van den Hamer et al.	
		5,392,390	2/21/1995	Crozier	
V		5,394,469	2/28/1995	Nagel et al.	

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Attorney Docket Number	07451.0005-04000

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/AS/		5,408,501	4/18/1995	Cornaby	
		5,410,598	4/25/1995	Shear	
		5,412,717	5/2/1995	Fischer	
		5,418,713	5/23/1995	Allen	
		5,420,927	5/30/1995	Michali	
		5,421,006	5/30/1995	Jablon et al.	
		5,422,953	6/6/1995	Fischer	
		5,428,606	6/27/1995	Moskowitz	
		5,428,685	6/27/1995	Kadooka et al.	
		5,432,851	7/11/1995	Scheidt et al.	
		5,432,928	7/11/1995	Sherman	
		5,432,950	7/11/1995	Sibigroth	
		5,438,508	8/1/1995	Wyman	
		5,440,634	8/8/1995	Jones et al.	
		5,442,645	8/15/1995	Ugon et al.	
		5,444,779	8/22/1995	Daniele	
		5,449,895	9/12/1995	Hecht et al.	
		5,449,896	9/12/1995	Hecht et al.	
		5,450,490	9/12/1995	Jensen et al.	
		5,450,493	9/12/1995	Maher	
		5,453,601	9/26/1995	Rosen	
		5,453,605	9/26/1995	Hecht et al.	
		5,455,407	10/3/1995	Rosen	
		5,455,861	10/3/1995	Faucher et al.	
		5,455,953	10/3/1995	Russell	
		5,457,746	10/10/1995	Dolphin	
		5,457,747	10/10/1995	Drexler, et al.	
		5,458,494	10/17/1995	Krohn, et al.	
V		5,463,565	10/31/1995	Cookson et al.	

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Attorney Docket Number	07451.0005-04000

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		Number-Kind Code (if known)			
/AS/		5,473,687	12/5/1995	Lipscomb et al.	
		5,473,692	12/5/1995	Davis	
		5,479,509	12/26/1995	Ugon	
		5,485,622	1/16/1996	Yamaki	
		5,490,216	2/6/1996	Ricahrdson III	
		5,491,800	2/13/1996	Goldsmith et al.	
		5,497,479	3/5/1996	Hombuckle	
		5,497,491	3/5/1996	Mitchell et al.	
		5,499,298	3/12/1996	Narasimhalu et al.	
		5,504,757	4/1/1996	Cook et al.	
		5,504,818	4/2/1996	Okano	
		5,504,837	4/2/1996	Griffeth et al.	
		5,505,461	4/9/1996	Bell et al.	
		5,508,913	4/16/1996	Yamamoto et al.	
		5,509,070	4/16/1996	Schull	
		5,513,261	4/30/1996	Maher	
		5,517,518	5/14/1996	Morson et al.	
		5,521,815	5/28/1996	Rose Jr. et al.	
		5,524,933	6/11/1996	Kunt et al.	
		5,530,235	6/25/1996	Stefik et al.	
		5,530,752	6/25/1996	Rubin	
		5,533,123	7/2/1996	Force et al.	
		5,534,855	7/9/1996	Shockley, et al.	
		5,534,975	7/9/1996	Stefik et al.	
		5,535,322	7/9/1996	Hecht	
		5,537,526	7/16/1996	Anderson et al.	
		5,539,735	7/23/1996	Moskowitz	
		5,539,828	7/23/1996	Davis	
V		5,550,971	8/27/1996	Brunner et al.	

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**Complete if Known**

Application Number	10/727,324
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Examiner Name	Not Yet Assigned
Attorney Docket Number	07451.0005-04000

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		Number-Kind Code (if known)			
/AS/		5,553,282	9/3/1996	Parrish et al.	
		5,557,518	9/17/1996	Rosen	
		5,557,798	9/17/1996	Skeen et al.	
		5,563,946	10/8/1996	Cooper et al.	
		5,568,552	10/22/1996	Davis	
		5,572,673	11/5/1996	Shurts	
		5,574,962	11/12/1996	Fardeau et al.	
		5,577,209	11/19/1996	Boyle et al.	
		5,581,686	12/3/1996	Koppolu et al.	
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		5,592,549	1/7/1997	Nagel et al.	
		5,603,031	2/11/1997	White et al.	
		5,606,609	2/25/1997	Houser et al.	
		5,613,004	3/18/1997	Cooperman et al.	
		5,621,797	4/15/1997	Rosen	
		5,625,693	4/29/1997	Rohatgi et al.	
		5,629,770	5/13/1997	Brassil et al.	
		5,629,980	5/13/1997	Stefik et al.	
		5,633,932	5/27/1997	Davis et al.	
		5,634,012	5/27/1997	Stefik et al.	
		5,636,276	6/3/1997	Brugger	
		5,636,292	6/3/1997	Rhoads	
		5,638,443	6/10/1997	Stefik et al.	
		5,638,504	6/10/1997	Scott et al.	
		5,640,546	6/17/1997	Gopinath et al.	
		5,644,686	7/1/1997	Hekmatpour	
		5,646,997	7/8/1997	Barton	
		5,649,099	7/15/1997	Theimer et al.	
V		5,655,077	8/5/1997	Jones et al.	

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## Complete if Known

Application Number	10/727,324
Filing Date	December 2, 2003
First Named Inventor	Karl L. Ginter, et al.
Art Unit	3624
Examiner Name	Not Yet Assigned
Attorney Docket Number	07451.0005-04000

## U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

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		Number-Kind Code (if known)			
/AS/		5,671,279	9/23/1997	Elgamal	
		5,678,170	10/14/1997	Grube, et al.	
		5,687,236	11/11/1997	Moskowitz et al.	
		5,689,565	11/18/1997	Spies et al.	
		5,689,566	11/18/1997	Nguyen	
		5,689,587	11/18/1997	Bender et al.	
		5,692,047	11/25/1997	McManis	
		5,692,180	11/25/1997	Lee	
		5,692,980	12/2/1997	Trotman	
		5,699,427	12/16/1997	Chow et al.	
		5,710,834	1/20/1998	Rhoads	
		5,715,314	2/3/1998	Payne et al.	
		5,715,403	2/3/1998	Stefik	
		5,717,923	2/10/1998	Dedrick	
		5,721,788	2/24/1998	Powell et al.	
		5,724,424	3/3/1998	Gifford	
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		5,734,719	3/31/1998	Tseudosetal	
		5,740,549	4/14/1998	Reilly et al.	
		5,745,569	4/28/1998	Moskowitz et al.	
		5,745,604	4/28/1998	Rhoads	
		5,745,678	4/28/1998	Herzberg et al.	
		5,748,763	5/5/1998	Rhoads	
		5,748,783	5/5/1998	Rhoads	
		5,748,960	5/5/1998	Fischer	
		5,754,849	5/19/1998	Dyer et al.	
		5,757,914	5/26/1998	McManis	
✓		5,758,152	5/26/1998	LeTourneau	

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**INFORMATION DISCLOSURE  
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**Complete if Known**

Application Number	10/727,324
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Art Unit	3624
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Attorney Docket Number	07451.0005-04000

**U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS**

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		Number-Kind Code (if known)			
IASI		5,759,10	6/2/1998	Von Kohorn	
		5,765,152	6/9/1998	Erickson	
		5,768,426	6/16/1998	Rhoads	
		5,774,872	6/30/1998	Golden, et al.	
		5,778,385	7/7/1998	Pratt	
		5,787,334	7/28/1998	Fardeau et al.	
		5,802,590	9/1/1998	Draves	
		5,819,263	10/6/1998	Bromley et al.	
		5,832,119	11/3/1998	Rhoads	
		5,842,173	11/24/1998	Strum et al.	
		5,845,281	12/1/1998	Benson et al.	
		5,878,421	3/2/1999	Ferrel et al.	
		5,892,899	4/6/1999	Aucsmith et al.	
		5,892,900	4/6/1999	Ginter et al.	
		5,896,454	4/20/1999	Cookson et al.	
		5,910,987	6/8/1999	Ginter et al.	
		5,915,019	6/22/1999	Ginter et al.	
		5,917,912	6/29/1999	Ginter et al.	
		5,920,861	7/6/1999	Hall et al.	
		5,940,504	8/17/1999	Griswold	
		5,940,505	8/17/1999	Kanamaru	
		5,943,422	8/24/1999	Van Wie et al.	
		5,949,876	9/7/1999	Ginter et al.	
		5,956,408	9/21/1999	Arnold	
		5,966,440	10/12/1999	Hair	
		5,978,484	11/2/1999	Apperson et al.	
		5,982,891	11/9/1999	Ginter et al.	
		5,991,876	11/23/1999	Johnson et al.	
V		5,995,756	12/7/1999	Schmодde et al.	

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## Complete if Known

Application Number	10/727,324
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Attorney Docket Number	07451.0005-04000

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/AS/		5,999,949	12/7/1999	Crandall	
		6,009,170	12/28/1999	Sako et al.	
		6,016,393	1/18/2000	White et al.	
		6,026,193	2/15/2000	Rhoads	
		6,044,205	3/28/2000	Reed et al.	
		6,085,238	7/4/2000	Yuasa et al.	
		6,102,965	8/15/2000	Dye et al.	
		6,112,181	8/29/2000	Shear et al.	
		6,135,646	10/24/2000	Kahn et al.	
		6,138,119	10/24/2000	Hall et al.	
		6,157,721	12/5/2000	Shear et al.	
		6,185,683	2/6/2001	Ginter et al.	
		6,237,786	5/29/2001	Ginter et al.	
		6,240,185	5/29/2004	Van Wie et al.	
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		6,292,569	9/18/2001	Shear et al.	
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		6,389,402	5/14/2002	Ginter et al.	
		6,393,484	5/21/2002	Massarani	
		6,427,140	7/30/2002	Ginter et al.	
		6,449,367	9/10/2002	Van Wie et al.	
		6,477,559	11/5/2002	Veluvali et al.	
		6,519,615	2/11/2003	Wollrath et al.	
		6,618,484	9/9/2003	Van Wie et al.	
		6,640,304	10/28/2003	Ginter et al.	
		6,658,568	12/2/2003	Ginter et al.	
		6,668,325	12/23/2003	Collberg et al.	
		6,785,815	8/31/2004	Serret-Avila et al.	
V		6,807,534	10/19/2004	Erickson	

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Application Number	10/727,324
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Attorney Docket Number	07451.0005-04000

## U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS

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/AS/		6,832,316	12/14/2004	Sibert	

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Examiner Initials	Cite No.	Foreign Patent Document Country Code Number Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation
/AS/		9 004 79	12/1/1984			
		AU-A-36815/97	2/19/1998			
		AU-A-36816/97	2/19/1998			
		AU-A-36840/97	2/19/1998			
		DE 29 43 436 A1	10/26/1979			
		DE 3 803 982	1/25/1990			
		EP 0 084 441 A2	7/27/1983			
		EP 0 128 672 A1	12/19/1984			
		EP 0 135 422 A1	3/27/1985			
		EP 0 180 460 A1	5/7/1986			
		EP 0 367 700 A2	5/9/1990			
		EP 0 370 146 A1	5/30/1990			
		EP 0 398 645 B1	11/22/1990			
		EP 0 399 822 A2	11/28/1990			
		EP 0 421 409 A2	4/10/1991			
		EP 0 456 386 A2	11/13/1991			
		EP 0 469 864 A2,A3	2/5/1992			
		EP 0 565 314 A2	10/13/1993			
		EP 0 567 800 A1	11/3/1993			
		EP 0 570 123	11/18/1993			
		EP 0 593 305 A2	4/20/1994			
		EP 0 651 554 A1	5/3/1995			
		EP 0 653 695 A2	5/17/1995			
		EP 0 668 695 A2, A3	8/23/1995			

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## Complete if Known

Application Number	10/727,324
Filing Date	December 2, 2003
First Named Inventor	Karl L. Ginter, et al.
Art Unit	3624
Examiner Name	Not Yet Assigned
Attorney Docket Number	07451.0005-04000

## FOREIGN PATENT DOCUMENTS

Examiner Initials	Cite No.	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Translation
/AS/		Country Code Number Kind Code (if known) EP 0 695 985 A1	2/7/1996			
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**NON PATENT LITERATURE DOCUMENTS**

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IDS Form PTO/SB/08: Substitute for form 1449A/PTO				<b>Complete if Known</b>	
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Art Unit	3624
Examiner Name	Not Yet Assigned
Attorney Docket Number	07451.0005-04000

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IDS Form PTO/SB/08: Substitute for form 1449A/PTO  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				<b>Complete if Known</b>	
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				First Named Inventor	Karl L. Ginter, et al.
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IDS Form PTO/SB/08: Substitute for form 1449A/PTO			<b>Complete if Known</b>		
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)			Application Number	10/727,324	
			Filing Date	December 2, 2003	
			First Named Inventor	Karl L. Ginter, et al.	
			Art Unit	3624	
			Examiner Name	Not Yet Assigned	
			Attorney Docket Number	07451.0005-04000	
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NON PATENT LITERATURE DOCUMENTS				
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IDS Form PTO/SB/08: Substitute for form 1449A/PTO				<b>Complete if Known</b>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (Use as many sheets as necessary)				Application Number	10/727,324
				Filing Date	December 2, 2003
				First Named Inventor	Karl L. Ginter, et al.
				Art Unit	3624
				Examiner Name	Not Yet Assigned
Sheet	58	of	59	Attorney Docket Number	07451.0005-04000

NON PATENT LITERATURE DOCUMENTS					
Examiner Initials	Cite No.	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	Translation		
/ASI/		Weingart, S.H., "Physical Security for the ABYSS System," (IBM Thomas J. Watson Research Center, Yorktown Heights, NY), 1987, pp. 52-58.			
		Weitzner, D.J., "A Statement on EFF's Open Platform Campaign as of November," 1993, 3 pages.			
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↓					
Examiner Signature	/April Ying Shan/			Date Considered	01/24/2008

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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 59 of 59

**Complete if Known**

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/AS/		Wood, P.H., et al., UNIX System Security, Pipeline Associates, Inc., 1985.	
		Working with Windows Objects, Microsoft Press, OLE 2 Programmer's Reference; Volume 1, 1994.	
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Examiner Signature	/April Ying Shan/	Date Considered	09/29/2008
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